plication of:

Group Art Unit:

1742

Naoyuki Kobayashi, et al.

Examiner: Leader, William T.

Serial No.:

09/787,021

Filed:

April 18, 2001

For:

METHOD FOR FORMING A LUBRICATIVE FILM FOR

COLD WORKING

Attorney Docket No.: HSTI0112PUSA

INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER 37 C.F.R. § 1.97(c) AND (e)(1)

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Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(c), i.e., before the mailing of a notice of allowance.

Each item of information contained in this Statement was first cited in a communication from a foreign patent office in a counterpart foreign application (copy of communication enclosed) not more than three months prior to the filing of this Statement.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22812-1450 on:

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S/N: 09/787,021

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

Naoyuki Kobayashi, et al.

By:

William G. Abbatt Reg. No. 31,936

Attorney/Agent for Applicant

Date: February 1, 2005

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PTO/SB/08A (10-96) [MODIFIED]
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Complete if Known Substitute for Form 1449A/PTO **Application Number** 09/787,021 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Filing Date April 18, 2001 First Named Inventor Naoyuki Kobayashi, et al. Group Art Unit 1742 (use as many sheets as necessary) **Examiner Name** William T. Leader 2 HSTI0112PUSA 1 Attorney Docket Number of Sheet

U.S. PATENT DOCUMENTS							
		U.S. PATENT	DOCUMENT		Date of Publication of		
Examiner Initials	Cite No. ¹	Number	Kind Code ² (<i>if known</i>)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
							
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FOREIGN PATENT DOCUMENTS								
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Substitute for Form 1449B/PTO				Complete if Known				
			OL COURT	Application Number	09/787,021			
				Filing Date	April 18, 2001			
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				Group Art Unit	1742			
	(use as many she	ets as	necessary)	Examiner Name	William T. Leader			
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